Network Working Group Request for Comments: 5535 Category: Standards Track M. Bagnulo UC3M June 2009

#### Hash-Based Addresses (HBA)

#### Status of This Memo

This document specifies an Internet standards track protocol for the Internet community, and requests discussion and suggestions for improvements. Please refer to the current edition of the "Internet Official Protocol Standards" (STD 1) for the standardization state and status of this protocol. Distribution of this memo is unlimited.

#### Copyright Notice

Copyright (c) 2009 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents in effect on the date of publication of this document (http://trustee.ietf.org/license-info). Please review these documents carefully, as they describe your rights and restrictions with respect to this document.

This document may contain material from IETF Documents or IETF Contributions published or made publicly available before November 10, 2008. The person(s) controlling the copyright in some of this material may not have granted the IETF Trust the right to allow modifications of such material outside the IETF Standards Process. Without obtaining an adequate license from the person(s) controlling the copyright in such materials, this document may not be modified outside the IETF Standards Process, and derivative works of it may not be created outside the IETF Standards Process, except to format it for publication as an RFC or to translate it into languages other than English.

#### Abstract

This memo describes a mechanism to provide a secure binding between the multiple addresses with different prefixes available to a host within a multihomed site. This mechanism employs either Cryptographically Generated Addresses (CGAs) or a new variant of the same theme that uses the same format in the addresses. The main idea in the new variant is that information about the multiple prefixes is included within the addresses themselves. This is achieved by generating the interface identifiers of the addresses of a host as

Bagnulo Standards Track [Page 1]

hashes of the available prefixes and a random number. Then, the multiple addresses are generated by prepending the different prefixes to the generated interface identifiers. The result is a set of addresses, called Hash-Based Addresses (HBAs), that are inherently bound to each other.

# Table of Contents

| 1.  | Introduction   | 3    |  |  |  |  |
|-----|--|------|--|--|--|--|
| 2.  | Terminology  |      |  |  |  |  |
|     | Overview   |      |  |  |  |  |
|     | 3.1. Threat Model  |      |  |  |  |  |
|     | 3.2. Overview  |      |  |  |  |  |
|     | 3.3. Motivations for the HBA Design                            |      |  |  |  |  |
| 4.  | . Cryptographic Generated Addresses (CGAs) Compatibility       |      |  |  |  |  |
| - • | Considerations   | 6    |  |  |  |  |
| 5.  | Multi-Prefix Extension for CGA                                 |      |  |  |  |  |
|     | HBA-Set Generation   |      |  |  |  |  |
|     | HBA Verification   |      |  |  |  |  |
|     | 7.1. Verification That a Particular HBA Address                |      |  |  |  |  |
|     | Corresponds to a Given CGA Parameter Data Structure            | 11   |  |  |  |  |
|     | 7.2. Verification That a Particular HBA Address Belongs to the |      |  |  |  |  |
|     | HBA Set Associated with a Given CGA Parameter Data             |      |  |  |  |  |
|     | Structure  | .11  |  |  |  |  |
| 8.  | Example of HBA Application in a Multihoming Scenario           |      |  |  |  |  |
|     | 8.1. Dynamic Address Set Support                               |      |  |  |  |  |
| 9.  | DNS Considerations   | 17   |  |  |  |  |
| 10  | . IANA Considerations  | 18   |  |  |  |  |
| 11  | . Security Considerations                                      | 18   |  |  |  |  |
|     | 11.1. Security Considerations When Using HBAs in the           |      |  |  |  |  |
|     | Shim6 Protocol   | 20   |  |  |  |  |
|     | 11.2. Privacy Considerations                                   | 22   |  |  |  |  |
|     | 11.3. SHA-1 Dependency Considerations                          | . 22 |  |  |  |  |
|     | 11.4. DoS Attack Considerations                                | 22   |  |  |  |  |
| 12  | . Contributors   | 23   |  |  |  |  |
| 13  | . Acknowledgments  | 23   |  |  |  |  |
| 14  | . References   | 24   |  |  |  |  |
|     | 14.1. Normative References                                     | 24   |  |  |  |  |
|     | 14.2. Informative References                                   | 24   |  |  |  |  |

#### 1. Introduction

In order to preserve inter-domain routing system scalability, IPv6 sites obtain addresses from their Internet Service Providers (ISPs). Such an addressing strategy significantly reduces the amount of routes in the global routing tables, since each ISP only announces routes to its own address blocks, rather than announcing one route per customer site. However, this addressing scheme implies that multihomed sites will obtain multiple prefixes, one per ISP. Moreover, since each ISP only announces its own address block, a multihomed site will be reachable through a given ISP if the ISP prefix is contained in the destination address of the packets. This means that, if an established communication needs to be routed through different ISPs during its lifetime, addresses with different prefixes will have to be used. Changing the address used to carry packets of an established communication exposes the communication to numerous attacks, as described in [11], so security mechanisms are required to provide the required protection to the involved parties. This memo describes a tool that can be used to provide protection against some of the potential attacks, in particular against future/ premeditated attacks (aka time shifting attacks in [12]).

This memo describes a mechanism to provide a secure binding between the multiple addresses with different prefixes available to a host within a multihomed site.

It should be noted that, as opposed to the mobility case where the addresses that will be used by the mobile node are not known a priori, the multiple addresses available to a host within the multihomed site are pre-defined and known in advance in most of the cases. The mechanism proposed in this memo employs either Cryptographically Generated Addresses (CGAs) [2] or a new variant of the same theme that uses the same format in the addresses. The new variant, Hash-Based Address (HBA), takes advantage of the address set stability. In either case, a secure binding between the addresses of a node in a multihomed site can be provided. CGAs employ public key cryptography and can deal with changing address sets. HBAs employ only symmetric key cryptography, and have smaller computational requirements.

For the purposes of the Shim6 protocol, the other characteristics of the CGAs and HBAs are similar. Both can be generated by the host itself without any reliance on external infrastructure. Both employ the same format of addresses and same format of data fed to generate the addresses. It is not required that all interface identifiers of a node's addresses be equal, preserving some degree of privacy through changes in the addresses used during the communications.

Bagnulo Standards Track [Page 3]

The main idea in HBAs is that information about the multiple prefixes is included within the addresses themselves. This is achieved by generating the interface identifiers of the addresses of a host as hashes of the available prefixes and a random number. Then, the multiple addresses are obtained by prepending the different prefixes to the generated interface identifiers. The result is a set of addresses that are inherently bound. A cost-efficient mechanism is available to determine if two addresses belong to the same set, since given the prefix set and the additional parameters used to generate the HBA, a single hash operation is enough to verify if an HBA belongs to a given HBA set.

## 2. Terminology

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in RFC 2119 [1].

#### 3. Overview

#### 3.1. Threat Model

The threat analysis for the multihoming problem is described in [11]. This analysis basically identifies attacks based on redirection of packets by a malicious attacker towards addresses that do not belong to the multihomed node. There are essentially two types of redirection attacks: communication hijacking and flooding attacks. Communication hijacking attacks are about an attacker stealing ongoing and/or future communications from a victim. Flooding attacks are about redirecting the traffic generated by a legitimate source towards a third party, flooding it. The HBA solution provides full protection against the communication hijacking attacks. The Shim6 protocol [9] protects against flooding attacks. Residual threats are described in the "Security Considerations" section.

# 3.2. Overview

The basic goal of the HBA mechanism is to securely bind together multiple IPv6 addresses that belong to the same multihomed host. This allows rerouting of traffic without worrying that the communication is being redirected to an attacker. The technique that is used is to include a hash of the permitted prefixes in the low-order bits of the IPv6 address.

So, eliding some details, say the available prefixes are A, B, C, and D, the host would generate a prefix list P consisting of (A,B,C,D) and a random number called Modifier M. Then it would generate the new addresses:

Bagnulo Standards Track [Page 4]

A | | H(M | A | P)

B | H(M | B | P)

C | H(M | C | P)

D | H(M | D | P)

Thus, given one valid address out of the group and the prefix list P and the random Modifier M it is possible to determine whether another address is part of the group by computing the hash and checking against the low-order bits.

## 3.3. Motivations for the HBA Design

The design of the HBA technique was driven by the following considerations:

First of all, the goal of HBA is to provide a secure binding between the IPv6 address used as an identifier by the upper-layer protocols and the alternative locators available in the multihomed node so that redirection attacks are prevented.

Second, in order to achieve such protection, the selected approach was to include security information in the identifier itself, instead of relying on third trusted parties to secure the binding, such as the ones based on repositories or Public Key Infrastructure. This decision was driven by deployment considerations, i.e., the cost of deploying the trusted third-party infrastructure.

Third, application support considerations described in [16] resulted in selecting routable IPv6 addresses to be used as identifiers. Hence, security information is stuffed within the interface identifier part of the IPv6 address.

Fourth, performance considerations as described in [17] motivated the usage of a hash-based approach as opposed to a public-key-based approach based on pure Cryptographic Generated Addresses (CGA), in order to avoid imposing the performance of public key operations for every communication in multihomed environments. The HBA approach presented in this document presents a cheaper alternative that is attractive to many common usage cases. Note that the HBA approach and the CGA approaches are not mutually exclusive and that it is possible to generate addresses that are both valid CGA and HBA addresses providing the benefits of both approaches if needed.

Bagnulo Standards Track [Page 5]

4. Cryptographic Generated Addresses (CGAs) Compatibility Considerations

As described in the previous section, the HBA technique uses the interface identifier part of the IPv6 address to encode information about the multiple prefixes available to a multihomed host. However, the interface identifier is also used to carry cryptographic information when Cryptographic Generated Addresses (CGAs) [2] are used. Therefore, conflicting usages of the interface identifier bits may result if this is not taken into account during the HBA design. There are at least two valid reasons to provide CGA-HBA compatibility:

First, the current Secure Neighbor Discovery (SeND) specification [3] uses the CGAs defined in [2] to prove address ownership. If HBAs are not compatible with CGAs, then nodes using HBAs for multihoming wouldn't be able to do Secure Neighbor Discovery using the same addresses (at least the parts of SeND that require CGAs). This would imply that nodes would have to choose between security (from SeND) and fault tolerance (from IPv6 multihoming support provided by the Shim6 protocol [9]). In addition to SeND, there are other protocols that are considered to benefit from the advantages offered by the CGA scheme, such as mobility support protocols [13]. Those protocols could not be used with HBAs if HBAs are not compatible with CGAs.

Second, CGAs provide additional features that cannot be achieved using only HBAs. In particular, because of its own nature, the HBA technique only supports a predetermined prefix set that is known at the time of the generation of the HBA set. No additions of new prefixes to this original set are supported after the HBA set generation. In most of the cases relevant for site multihoming, this is not a problem because the prefix set available to a multihomed set is not very dynamic. New prefixes may be added in a multihomed site when a new ISP is available, but the timing of those events are rarely in the same time scale as the lifetime of established communications. It is then enough for many situations that the new prefix is not available for established communications and that only new communications benefit from it. However, in the case that such functionality is required, it is possible to use CGAs to provide it. This approach clearly requires that HBA and CGA approaches be compatible. If this is the case, it then would be possible to create HBA/CGA addresses that support CGA and HBA functionality simultaneously. The inputs to the HBA/CGA generation process will be both a prefix set and a public key. In this way, a node that has established a communication using one address of the CGA/HBA set can tell its peer to use the HBA verification when one of the addresses

Bagnulo Standards Track [Page 6]

of its HBA/CGA set is used as locator in the communication or to use CGA (public-/private-key-based) verification when a new address that does not belong to the HBA/CGA set is used as locator in the communication.

So, because of the aforementioned reasons, it is a goal of the HBA design to define HBAs in such a way that they are compatible with CGAs as defined in [2] and their usages described in [3] (consequently, to understand the rest of this note, the reader should be familiar with the CGA specification defined in [2]). This means that it must be possible to generate addresses that are both an HBA and a CGA, i.e., that the interface identifier contains cryptographic information of CGA and the prefix-set information of an HBA. The CGA specification already considers the possibility of including additional information into the CGA generation process through the usage of Extension Fields in the CGA Parameter Data Structure. It is then possible to define a Multi-Prefix extension for CGA so that the prefix set information is included in the interface identifier generation process.

Even though a CGA compatible approach is adopted, it should be noted that HBAs and CGAs are different concepts. In particular, the CGA is inherently bound to a public key, while an HBA is inherently bound to a prefix set. This means that a public key is not required to generate an HBA-only address. Because of that, we define three different types of addresses:

- CGA-only addresses: These are addresses generated as specified in [2] without including the Multi-Prefix extension. They are bound to a public key and to a single prefix (contained in the basic CGA Parameter Data Structure). These addresses can be used for SeND [3]; if used for multihoming, their application will have to be based on the public key usage.
- CGA/HBA addresses: These addresses are CGAs that include the Multi-Prefix extension in the CGA Parameter Data Structure used for their generation. These addresses are bound to a public key and a prefix set and they provide both CGA and HBA functionalities. They can be used for SeND as defined in [3] and for any usage defined for HBA (such as a Shim6 protocol).
- HBA-only addresses: These addresses are bound to a prefix set but they are not bound to a public key. Because HBAs are compatible with CGA, the CGA Parameter Data Structure will be used for their generation, but a random nonce will be included in the Public Key field instead of a public key. These addresses can be used for HBA-based multihoming protocols, but they cannot be used for SeND.

Bagnulo Standards Track [Page 7]

## 5. Multi-Prefix Extension for CGA

The Multi-Prefix extension has the following TLV format as defined in [8]:

| 0  | L                       | 2            |                              | 3                      |  |  |  |
|--|-------------------------|--------------|------------------------------|------------------------|--|--|--|
| 0 1 2 3 4 5 6 7 8 9 0                    | 0 1 2 3 4 5             | 6 7 8 9 0 1  | 2 3 4 5 6 7                  | 8 9 0 1                |  |  |  |
| +-+-+-+-+-+-+-+-+-+-+-+-+-+-+-+-++       | -+-+-+-+-+              | +-+-+-+-+    | -+-+-+-                      | +-+-+-+                |  |  |  |
| Extension Ty                             | ype                     | Extension    | Data Lengt                   | h                      |  |  |  |
| +- |                         |              |                              |                        |  |  |  |
| P  Reserved                              |                         |              |                              |                        |  |  |  |
| +- |                         |              |                              |                        |  |  |  |
|  |                         |              |                              |                        |  |  |  |
| + Prefix[1] +                            |                         |              |                              |                        |  |  |  |
|  |                         |              |                              |                        |  |  |  |
| +- |                         |              |                              |                        |  |  |  |
|  |                         |              |                              |                        |  |  |  |
| + Prefix[2] +                            |                         |              |                              |                        |  |  |  |
|  |                         |              |                              |                        |  |  |  |
| +- | -+-+-+-+-+              | +-+-+-+      | -+-+-+-                      | +-+-+-+                |  |  |  |
| •  | •                       |              |                              | •                      |  |  |  |
| •  | •                       |              |                              | •                      |  |  |  |
| :  | +-+-+-+-+-              |              |                              |                        |  |  |  |
|  | -+-+-+-+-+              | +-+-+-+-+    | -+-+-+-+-                    | +-+-+-+                |  |  |  |
| 1  | Drofi                   | w[n]         |                              | !                      |  |  |  |
| + Prefix[n] +                            |                         |              |                              |                        |  |  |  |
|  | _ + _ + _ + _ + _ + _ + | +-+-+-+-+    |                              | +-+-+-+                |  |  |  |
|  | - 1 1 T-1               | - 1 1 1 TTTT | , , , , , – <del>, ,</del> – | , , , , — <del>,</del> |  |  |  |

Ext Type: 16-bit type identifier of the Multi-Prefix extension (see the "IANA Considerations" section).

Ext Len: 16-bit unsigned integer. Length of the Extension in octets, not including the first 4 octets.

P flag: Set if a public key is included in the Public Key field of the CGA Parameter Data Structure, reset otherwise.

Reserved: 31-bit reserved field. MUST be initialized to zero, and ignored upon receipt.

Prefix[1...n]: Vector of 64-bit prefixes, numbered 1 to n.

Bagnulo

Standards Track

[Page 8]

## 6. HBA-Set Generation

The HBA generation process is based on the CGA generation process defined in Section 4 of [2]. The goal is to require the minimum amount of changes to the CGA generation process. It should be noted that the following procedure is only valid for Sec values of 0, 1, and 2. For other Sec values, RFC 4982 [10] has defined a CGA SEC registry that will contain the specifications used to generate CGAs. The generation procedures defined in such specifications must be used for Sec values other than 0, 1, or 2.

The CGA generation process has three inputs: a 64-bit subnet prefix, a public key (encoded in DER as an ASN.1 structure of the type SubjectPublicKeyInfo), and the security parameter Sec.

The main difference between the CGA generation and the HBA generation is that while a CGA can be generated independently, all the HBAs of a given HBA set have to be generated using the same parameters, which implies that the generation of the addresses of an HBA set will occur in a coordinated fashion. In this memo, we will describe a mechanism to generate all the addresses of a given HBA set. The generation process of each one of the HBA address of an HBA set will be heavily based in the CGA generation process defined in [2]. More precisely, the HBA set generation process will be defined as a sequence of lightly modified CGA generations.

The changes required in the CGA generation process when generating a single HBA are the following: First, the Multi-Prefix extension has to be included in the CGA Parameter Data Structure. Second, in the case that the address being generated is an HBA-only address, a random nonce will have to be used as input instead of a valid public key. For backwards compatibility issues with pure CGAs, the random nonce MUST be encoded as a public key as defined in [2]. In particular, the random nonce MUST be formatted as a DER-encoded ASN.1 structure of the type SubjectPublicKeyInfo, defined in the Internet X.509 certificate profile [5]. The algorithm identifier MUST be rsaEncryption, which is 1.2.840.113549.1.1.1, and the random nonce MUST be formatted by using the RSAPublicKey type as specified in Section 2.3.1 of RFC 3279 [4]. The random nonce length is 384 bits.

The resulting HBA-set generation process is the following:

The inputs to the HBA generation process are:

- o A vector of n 64-bit prefixes,
- o A Sec parameter, and

o In the case of the generation of a set of HBA/CGA addresses, a public key is also provided as input (not required when generating HBA-only addresses).

The output of the HBA generation process are:

- o An HBA-set
- o their respective CGA Parameter Data Structures

The steps of the HBA-set generation process are:

- 1. Multi-Prefix extension generation. Generate the Multi-Prefix extension with the format defined in Section 5. Include the vector of n 64-bit prefixes in the Prefix[1...n] fields. The Ext Len field value is (n\*8 + 4). If a public key is provided, then the P flag is set to one. Otherwise, the P flag is set to zero.
- 2. Modifier generation. Generate a Modifier as a random or pseudorandom 128-bit value. If a public key has not been provided as an input, generate the Extended Modifier as a 384-bit random or pseudorandom value. Encode the Extended Modifier value as an RSA key in a DER-encoded ASN.1 structure of the type SubjectPublicKeyInfo defined in the Internet X.509 certificate profile [5].
- 3. Concatenate from left to right the Modifier, 9 zero octets, the encoded public key or the encoded Extended Modifier (if no public key was provided), and the Multi-Prefix extension. Execute the SHA-1 algorithm on the concatenation. Take the 112 leftmost bits of the SHA-1 hash value. The result is Hash2.
- 4. Compare the 16\*Sec leftmost bits of Hash2 with zero. If they are all zero (or if Sec=0), continue with step (5). Otherwise, increment the Modifier by one and go back to step (3).
- 5. Set the 8-bit collision count to zero.
- 6. For i=1 to n (number of prefixes) do:
  - 6.1. Concatenate from left to right the final Modifier value, Prefix[i], the collision count, the encoded public key or the encoded Extended Modifier (if no public key was provided), and the Multi-Prefix extension. Execute the SHA-1 algorithm on the concatenation. Take the 64 leftmost bits of the SHA-1 hash value. The result is Hash1[i].

Bagnulo Standards Track [Page 10]

- 6.2. Form an interface identifier from Hash1[i] by writing the value of Sec into the three leftmost bits and by setting bits 6 and 7 (i.e., the "u" and "g" bits) both to zero.
- 6.3. Generate address HBA[i] by concatenating Prefix[i] and the 64-bit interface identifier to form a 128-bit IPv6 address with the subnet prefix to the left and interface identifier to the right as in a standard IPv6 address [6].
- 6.4. Perform duplicate address detection if required. If an address collision is detected, increment the collision count by one and go back to step (6). However, after three collisions, stop and report the error.
- 6.5. Form the CGA Parameter Data Structure that corresponds to HBA[i] by concatenating from left to right the final Modifier value, Prefix[i], the final collision count value, the encoded public key or the encoded Extended Modifier, and the Multi-Prefix extension.

Note: most of the steps of the process are taken from [2].

#### 7. HBA Verification

The following procedure is only valid for Sec values of 0, 1, and 2. For other Sec values, RFC 4982 [10] has defined a CGA SEC registry that will contain the specifications used to verify CGAs. The verification procedures defined in such specifications must be used for Sec values other than 0,1, or 2.

7.1. Verification That a Particular HBA Address Corresponds to a Given CGA Parameter Data Structure

HBAs are constructed as a CGA Extension, so a properly formatted HBA and its correspondent CGA Parameter Data Structure will successfully finish the verification process described in Section 5 of [2]. Such verification is useful when the goal is the verification of the binding between the public key and the HBA.

7.2. Verification That a Particular HBA Address Belongs to the HBA Set Associated with a Given CGA Parameter Data Structure

For multihoming applications, it is also relevant that the receiver of the HBA information verifies if a given HBA address belongs to a certain HBA set. An HBA set is identified by a CGA Parameter Data structure that contains a Multi-Prefix extension. So, the receiver needs to verify if a given HBA belongs to the HBA set defined by a CGA Parameter Data Structure. It should be noted that the receiver

Bagnulo Standards Track [Page 11]

may need to verify if an HBA belongs to the HBA set defined by the CGA Parameter Data Structure of another HBA of the set. If this is the case, HBAs will fail to pass the CGA verification process defined in [2], because the prefix included in the Subnet Prefix field of the CGA Parameter Data Structure will not match the prefix of the HBA that is being verified. To verify if an HBA belongs to an HBA set associated with another HBA, verify that the HBA prefix is included in the prefix set defined in the Multi-Prefix extension, and if this is the case, then substitute the prefix included in the Subnet Prefix field by the prefix of the HBA, and then perform the CGA verification process defined in [2].

So, the process to verify that an HBA belongs to an HBA set determined by a CGA Parameter Data Structure is called HBA verification and it is the following:

The inputs to the HBA verification process are:

- o An HBA
- o A CGA Parameter Data Structure

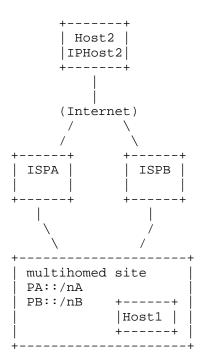
The steps of the HBA verification process are the following:

- 1. Verify that the 64-bit HBA prefix is included in the prefix set of the Multi-Prefix extension. If it is not included, the verification fails. If it is included, replace the prefix contained in the Subnet Prefix field of the CGA Parameter Data Structure by the 64-bit HBA prefix.
- 2. Run the verification process described in Section 5 of [2] with the HBA and the new CGA Parameters Data Structure (including the Multi-Prefix extension) as inputs. The steps of the process are included below, extracted from [2]:
  - 2.1. Check that the collision count in the CGA Parameter Data Structure is 0, 1, or 2. The CGA verification fails if the collision count is out of the valid range.
  - 2.2. Check that the subnet prefix in the CGA Parameter Data Structure is equal to the subnet prefix (i.e., the leftmost 64 bits) of the address. The CGA verification fails if the prefix values differ. Note: This step always succeeds because of the action taken in step 1.

- 2.3. Execute the SHA-1 algorithm on the CGA Parameter Data Structure. Take the 64 leftmost bits of the SHA-1 hash value. The result is Hash1.
- 2.4. Compare Hashl with the interface identifier (i.e., the rightmost 64 bits) of the address. Differences in the three leftmost bits and in bits 6 and 7 (i.e., the "u" and "g" bits) are ignored. If the 64-bit values differ (other than in the five ignored bits), the CGA verification fails.
- 2.5. Read the security parameter Sec from the three leftmost bits of the 64-bit interface identifier of the address. (Sec is an unsigned 3-bit integer.)
- 2.6. Concatenate from left to right the Modifier, 9 zero octets, the public key, and any extension fields (in this case, the Multi-Prefix extension will be included, at least) that follow the public key in the CGA Parameter Data Structure. Execute the SHA-1 algorithm on the concatenation. Take the 112 leftmost bits of the SHA-1 hash value. The result is Hash2.
- 2.7. Compare the 16\*Sec leftmost bits of Hash2 with zero. If any one of them is non-zero, the CGA verification fails. Otherwise, the verification succeeds. (If Sec=0, the CGA verification never fails at this step.)
- 8. Example of HBA Application in a Multihoming Scenario

In this section, we will describe a possible application of the HBA technique to IPv6 multihoming.

We will consider the following scenario: a multihomed site obtains Internet connectivity through two providers: ISPA and ISPB. Each provider has delegated a prefix to the multihomed site (PrefA::/nA and PrefB::/nb, respectively). In order to benefit from multihoming, the hosts within the multihomed site will configure multiple IP addresses, one per available prefix. The resulting configuration is depicted in the next figure.



We assume that both Host1 and Host2 support the Shim6 protocol.

Host2 is not located in a multihomed site, so there is no need for it to create HBAs (it must be able to verify them though, in order to support the Shim6 protocol, as we will describe next).

Host1 is located in the multihomed site, so it will generate its addresses as HBAs. In order to do that, it needs to execute the HBA-set generation process as detailed in Section 6 of this memo. The inputs of the HBA-set generation process will be: a prefix vector containing the two prefixes available in its link, i.e., PA:LA::/64 and PB:LB::/64, a Sec parameter value, and optionally a public key. In this case, we will assume that a public key is provided so that we can also illustrate how a renumbering event can be supported when HBA/CGA addresses are used (see the sub-section referring to dynamic address set support). So, after executing the HBA-set generation process, Host1 will have: an HBA-set consisting in two addresses, i.e., PA:LA:iidA and PB:LB:iidB with their respective CGA Parameter Data Structures, i.e., CGA\_PDS\_A and CGA\_PDS\_B. Note that iidA and iidB are different but both contain information about the prefix set available in the multihomed site.

Bagnulo Standards Track [Page 14]

We will next consider a communication between Host1 and Host2. Assume that both ISPs of the multihomed site are working properly, so any of the available addresses in Host1 can be used for the communication. Suppose then that the communication is established using PA:LA:iidA and IPHost2 for Host1 and Host2, respectively. So far, no special Shim6 support has been required, and PA:LA:iidA is used as any other global IP address.

Suppose that at a certain moment, one of the hosts involved in the communication decides that multihoming support is required in this communication (this basically means that one of the hosts involved in the communication desires enhanced fault-tolerance capabilities for this communication, so that if an outage occurs, the communication can be re-homed to an alternative provider).

At this moment, the Shim6 protocol Host-Pair Context establishment exchange will be performed between the two hosts (see [9]). In this exchange, Host1 will send CGA\_PDS\_A to Host2.

After the reception of CGA\_PDS\_A, Host2 will verify that the received CGA Parameter Data Structure corresponds to the address being used in the communication PA:LA:iidA. This means that Host2 will execute the HBA verification process described in Section 7 of this memo with PA:LA:iidA and CGA\_PDS\_A as inputs. In this case, the verification will succeed since the CGA Parameter Data Structure and the addresses used in the verification match.

As long as there are no outages affecting the communication path through ISPA, packets will continue flowing. If a failure affects the path through ISPA, Host1 will attempt to re-home the communication to an alternative address, i.e., PB:LB:iidB. In order to accomplish this, after detecting the outage, Host1 will inform Host2 about the alternative address. Host2 will verify that the new address belongs to the HBA set of the initial address. In order to accomplish this, Host2 will execute the HBA verification process with the CGA Parameter Data Structure of the original address (i.e., CGA\_PDS\_A) and the new address (i.e., PB:LB:iidB) as inputs. The verification process will succeed because PB:LB::/64 has been included in the Multi-Prefix extension during the HBA-set generation process. Additional verifications may be required to prevent flooding attacks (see the comments about flooding attacks prevention in the Security Considerations section of this memo).

Once the new address is verified, it can be used as an alternative locator to re-home the communication, while preserving the original address (PA:LA:iidA) as an identifier for the upper layers. This

Bagnulo Standards Track [Page 15]

means that following packets will be addressed to/from this new address. Note that no additional HBA verification is required for the following packets, since the new valid address can be stored in Host2.

In this example, only the HBA capabilities of the Hostl addresses were used. In other words, neither the public key included in the CGA Parameter Data Structure nor its correspondent private key was used in the protocol. In the following section, we will consider a case where its usage is required.

### 8.1. Dynamic Address Set Support

In the previous section, we have presented the mechanisms that allow a host to use different addresses of a predetermined set to exchange packets of a communication. The set of addresses involved was predetermined and known when the communication was initiated. To achieve such functionality, only HBA functionalities of the addresses were needed. In this section, we will explore the case where the goal is to exchange packets using additional addresses that were not known when the communication was established. An example of such a situation is when a new prefix is available in a site after a renumbering event. In this case, the hosts that have the new address available may want to use it in communications that were established before the renumbering event. In this case, HBA functionalities of the addresses are not enough and CGA capabilities are to be used.

Consider then the previous case of the communication between Host1 and Host2. Suppose that the communication is up and running, as described earlier. Host1 is using PA:LA:iidA and Host2 is using IPHost2 to exchange packets. Now suppose that a new address, PC:LC: addC is available in Host1. Note that this address is just a regular IPv6 address, and it is neither an HBA nor a CGA. Host1 wants to use this new address in the existent communication with Host2. It should be noted that the HBA mechanism described in the previous section cannot be used to verify this new address, since this address does not belong to the HBA set (since the prefix was not available at the moment of the generation of the HBA set). This means that alternative verification mechanisms will be needed.

In order to verify this new address, CGA capabilities of PA:LA:iidA are used. Note that the same address is used, only that the verification mechanism is different. So, if Hostl wants to use PC: LC:addC to exchange packets in the established communication, it will use the UPDATE message defined in the Shim6 protocol [9], conveying the new address, PC:LC:addC, and this message will be signed using the private key corresponding to the public key contained in CGA\_PDS\_A. When Host2 receives the message, it will verify the

Bagnulo Standards Track [Page 16]

signature using the public key contained in the CGA Parameter Data Structure associated with the address used for establishing the communication, i.e., CGA\_PDS\_A and PA:LA:iidA, respectively. Once that the signature is verified, the new address (PC:LC:addC) can be used in the communication.

In any case, a renumbering event has an impact on a site that is using the HBA technique. In particular, the new prefix added will not be included in the existing HBA set, so it is only possible to use the new prefix with the existing HBA set if CGA capabilities are used. While this is acceptable for the short term, in the long run, the site will need to renumber its HBA addresses. In order to do that, it will need to re-generate the HBA sets assigned to hosts including the new prefix in the prefix set, which will result in different addresses, not only because we need to add a new address with the new prefix, but also because the addresses with the existing prefixes will also change because of the inclusion of a new prefix in the prefix set. Moreover, since HBA addresses need to be generated locally, once these are generated after the renumbering event, the new address information needs to be conveyed to the DNS manager in case that such address information is to be published in the DNS (see DNS considerations section for more details).

#### 9. DNS Considerations

HBA sets can be generated using any prefix set. Actually, the only particularity of the HBA is that they contain information about the prefix set in the interface identifier part of the address in the form of a hash, but no assumption about the properties of prefixes used for the HBA generation is made. This basically means that depending on the prefixes used for the HBA set generation, it may or may not be recommended to publish the resulting (HBA) addresses in the DNS. For instance, when Unique Local Address (ULA) prefixes [18] are included in the HBA generation process, specific DNS considerations related to the local nature of the ULA should be taken into account and proper recommendations related to publishing such prefixes in the DNS should followed. Moreover, among its addresses, a given host can have some HBAs and some other IPv6 addresses. The consequence from this is that only HBA addresses will be bound together by the HBA technique, while other addresses would not be bound to the HBA set. This would basically mean that if one of the other addresses is used for initiating a Shim6 communication, it won't be possible to use the HBA technique to bind the address used with the HBA set. Furthermore, since HBA addresses are indistinguishable from other IPv6 addresses in their format, an initiator will not be able to distinguish, by merely looking at the

Bagnulo Standards Track [Page 17]

different addresses, which ones belong to the HBA set and which ones do not, so alternative means would be required the initiator is supposed to use only HBA for establishing communications in the presence of non-HBA addresses in the DNS.

In addition, it should be noted that the actual HBA values are a result of the HBA generation procedure, meaning that they cannot be arbitrarily chosen. This has an implication with respect to DNS management, because the party that generates the HBA address set needs to convey the address information to the DNS manager, so that the addresses are published and not the other way around. The situation is similar to regular CGA addresses and even to the case where stateless address autoconfiguration is used. In order to do that, it is possible to use Dynamic DNS updates [19] or other proprietary tools. A similar consideration applies when the host wants to publish reverse-DNS entries. Since the host needs to generate its HBA addresses, it will need to convey the address information to the DNS manager so the proper reverse-DNS entry is populated in case it is needed. It should be noted that neither the Shim6 protocol nor the HBA technique rely on the reverse DNS for its proper functioning and the general reasons for requiring reverse-DNS population apply as for any other regular IPv6 address.

## 10. IANA Considerations

This document defines a new CGA Extension, the Multi-Prefix extension. This extension has been assigned the CGA Extension Type value 0x0012.

### 11. Security Considerations

The goal of HBAs is to create a group of addresses that are securely bound, so that they can be used interchangeably when communicating with a node. If there is no secure binding between the different addresses of a node, a number of attacks are enabled, as described in [11]. In particular, it would be possible for an attacker to redirect the communications of a victim to an address selected by the attacker, hijacking the communication. When using HBAs, only the addresses belonging to an HBA set can be used interchangeably, limiting the addresses that can be used to redirect the communication to a predetermined set that belongs to the original node involved in the communication. So, when using HBAs, a node that is communicating using address A can redirect the communication to a new address B if and only if B belongs to the same HBA set as A.

Bagnulo Standards Track [Page 18]

This means that if an attacker wants to redirect communications addressed to address HBA1 to an alternative address IPX, the attacker will need to create a CGA Parameter Data Structure that generates an HBA set that contains both HBA1 and IPX.

In order to generate the required HBA set, the attacker needs to find a CGA Parameter Data Structure that fulfills the following conditions:

- o the prefix of HBA1 and the prefix of IPX are included in the Multi-Prefix extension.
- o HBA1 is included in the HBA set generated.

Note: this assumes that it is acceptable for the attacker to redirect HBA1 to any address of the prefix of IPX.

The remaining fields that can be changed at will by the attacker in order to meet the above conditions are: the Modifier, other prefixes in the Multi-Prefix extension, and other extensions. In any case, in order to obtain the desired HBA set, the attacker will have to use a brute-force attack, which implies the generation of multiple HBA sets with different parameters (for instance with a different Modifier) until the desired conditions are meet. The expected number of times that the generation process will have to be repeated until the desired HBA set is found is exponentially related with the number of bits containing hash information included in the interface identifier of the HBA. Since 59 of the 64 bits of the interface identifier contain hash bits, then the expected number of generations that will have to be performed by the attacker are  $O(2^59)$ . Note: We assume brute force is the best attack against HBA/CGAs. Also, note that the assumption that the Sec tool defined in [2] multiplies the attack factor holds for brute-force attacks but may not hold for other attack classes.

The protection against brute-force attacks can be improved by increasing the Sec parameter. A non-zero Sec parameter implies that steps 3-4 of the generation process will be repeated  $O(2^{(16*Sec)})$  times (expected number of times). If we assimilate the cost of repeating the steps 3-4 to the cost of generating the HBA address, we can estimate the number of times that the generation is to be repeated in  $O(2^{(59+16*Sec)})$ , in the case of Sec values of 1 and 2. For other Sec values, Sec protection mechanisms will be defined by the specifications pointed by the CGA SEC registry defined in RFC 4982 [10].

Bagnulo Standards Track [Page 19]

## 11.1. Security Considerations When Using HBAs in the Shim6 Protocol

In this section, we will analyze the security provided by HBAs in the context of a Shim6 protocol as described in Section 8 of this memo.

First of all, it must be noted that HBAs cannot prevent man-in-the-middle (hereafter MITM) attacks. This means that in the scenario described in Section 8, if an attacker is located along the path between Host1 and Host2 during the lifetime of the communication, the attacker will be able to change the addresses used for the communication. This means that he will be able to change the addresses used in the communication, adding or removing prefixes at his will. However, the attacker must make sure that the CGA Parameter Data Structure and the HBA set is changed accordingly. This essentially means that the attacker will have to change the interface identifier part of the addresses involved, since a change in the prefix set will result in different interface identifiers of the addresses of the HBA set, unless the appropriate Modifier value is used (which would require O(2(59+16\*Sec)) attempts). So, HBA doesn't provide MITM attacks protection, but a MITM attacker will have to change the address used in the communication in order to change the prefix set valid for the communication.

HBAs provide protection against time shifting attacks [11], [12]. In the multihoming context, an attacker would perform a time shifted attack in the following way: an attacker placed along the path of the communication will modify the packets to include an additional address as a valid address for the communication. Then the attacker would leave the on-path location, but the effects of the attack would remain (i.e., the address would still be considered as a valid address for that communication). Next we will present how HBAs can be used to prevent such attacks.

If the attacker is not on-path when the initial CGA Parameter Data Structure is exchanged, his only possibility to launch a redirection attack is to fake the signature of the message for adding new addresses using CGA capabilities of the addresses. This implies discovering the public key used in the CGA Parameter Data Structure and then cracking the key pair, which doesn't seem feasible. So in order to launch a redirection attack, the attacker needs to be on-path when the CGA Parameter Data Structure is exchanged, so he can modify it. Now, in order to launch the redirection attack, the attacker needs to add his own prefix in the prefix set of the CGA Parameter Data Structure. We have seen in the previous section that there are two possible approaches for this:

Bagnulo Standards Track [Page 20]

- 1. Find the right Modifier value, so that the address initially used in the communication is contained in the new HBA set. The cost of this attack is O(2(59+16\*Sec)) iterations of the generation process, so it is deemed unfeasible.
- 2. Use any Modifier value, so that the address initially used in the communication is probably not included in the HBA set. In this case, the attacker must remain on-path, since he needs to rewrite the address carried in the packets (if not, the endpoints will notice a change in the address used in the communication). This essentially means that the attacker cannot launch a time shifted attack, but he must be a full-time man-in-the-middle.

So, the conclusion is that HBAs provide protection against time shifted attacks

HBAs do not provide complete protection against flooding attacks, and, as a result, the SHIM6 protocol has other means to deal with them. However, HBAs make it very difficult to launch a flooding attack towards a specific address. It is possible though, to launch a flooding attack against a prefix. And of course, the protection that HBA offers applies only to nodes that employ it; HBA provides no solution for general-purpose flooding-attack protection for other nodes.

Suppose that an attacker has easy access to a prefix PX::/nX and that he wants to launch a flooding attack on a host located in the address P:iid. The attack would consist of establishing communication with a server S and requesting a heavy flow from it. Then simply redirecting the flow to P:iid, flooding the target. In order to perform this attack, the attacker needs to generate an HBA set including P and PX in the prefix set, and be sure that the resulting HBA set contains P:iid. In order to do this, the attacker needs to find the appropriate Modifier value. The expected number of attempts required to find such Modifier value is O(2(59+16\*Sec)), as presented earlier. So, we can conclude that such attack is not feasible.

However, the target of a flooding attack is not limited to specific hosts, but it can also be launched against other elements of the infrastructure, such as router or access links. In order to do that, the attacker can establish a communication with a server S and request a download of a heavy flow. Then, the attacker redirects the communication to any address of the target network. Even if the target address is not assigned to any host, the flow will flood the access link of the target site, and the site access router will also suffer the overload. Such attack cannot be prevented using HBAs,

Bagnulo Standards Track [Page 21]

since the attacker can easily generate an HBA set using his own prefix and the target network prefix. In order to prevent such attacks, additional mechanisms are required, such as reachability tests.

#### 11.2. Privacy Considerations

HBAs can be used as RFC 4941 [7] addresses. If a node wants to use temporary addresses, it will need to periodically generate new HBA sets. The effort required for this operation depends on the Sec parameter value. If Sec=0, then the cost of generating a new HBA set is similar to the cost of generating a random number, i.e., one iteration of the HBA set generation procedure. However, if Sec>0, then the cost of generating an HBA set is significantly increased, since it required O(2(16\*Sec)) iterations of the generation process. In this case, depending on the frequency of address change required, the support for RFC 4941 address may be more expensive.

### 11.3. SHA-1 Dependency Considerations

Recent attacks on currently used hash functions have motivated a considerable amount of concern in the Internet community. The recommended approach [14] [15] to deal with this issue is first to analyze the impact of these attacks on the different Internet protocols that use hash functions, and second to make sure that the different Internet protocols that use hash functions are capable of migrating to an alternative (more secure) hash function without a major disruption in the Internet operation.

The aforementioned analysis for CGAs and their extensions (including HBAs) is performed in RFC 4982 [10]. The conclusion of the analysis is that the security of the protocols using CGAs and their extensions are not affected by the recently available attacks against hash functions. In spite of that, the CGA specification [2] was updated by RFC 4982 [10] to enable the support of alternative hash functions.

#### 11.4. DoS Attack Considerations

In order to use the HBA technique, the owner of the HBA set must inform its peer about the CGA Parameter Data Structure in order to allow the peer to verify that the different HBAs belong to the same HBA set. Such information must then be stored by the peer to verify alternative addresses in the future. This can be a vector for DoS attacks, since the peer must commit resources (in this particular case memory) to be able to use the HBA technique for address verification. It is then possible for an attacker to launch a DoS attack by conveying HBA information to a victim, imposing on the victim to use memory for storing HBA related state, and eventually

Bagnulo Standards Track [Page 22]

running out of memory for other genuine operations. In order to prevent such an attack, protocols that use the HBA technique should implement proper DoS prevention techniques.

For instance, the Shim6 protocol [9] includes a 4-way handshake to establish the Shim6 context and, in particular, to establish the HBA-related state. In this 4-way handshake, the receiver remains stateless during the first 2 messages, while the initiator must keep state throughout the exchange of the 4 messages so that the cost of the context establishment is higher in memory terms for the initiator (i.e., the potential attacker) than for the receiver (i.e., the potential victim). In addition to that, the 4-way handshake prevents the usage of spoofed addresses from off-path attacker, since the initiator must be able to receive information through the address it has used as source address, enabling the tracking of the location from which the attack was launched.

## 12. Contributors

This document was originally produced by a MULTI6 design team consisting of (in alphabetical order): Jari Arkko, Marcelo Bagnulo, Iljitsch van Beijnum, Geoff Huston, Erik Nordmark, Margaret Wasserman, and Jukka Ylitalo.

## 13. Acknowledgments

The initial discussion about HBA benefited from contributions from Alberto Garcia-Martinez, Tuomas Aura, and Arturo Azcorra.

The HBA-set generation and HBA verification processes described in this document contain several steps extracted from [2].

Jari Arkko, Matthew Ford, Francis Dupont, Mohan Parthasarathy, Pekka Savola, Brian Carpenter, Eric Rescorla, Robin Whittle, Matthijs Mekking, Hannes Tschofenig, Spencer Dawkins, Lars Eggert, Tim Polk, Peter Koch, Niclas Comstedt, David Ward, and Sam Hartman have reviewed this document and provided valuable comments.

The text included in Section 3.2 was provided by Eric Rescorla.

The author would also like to thank Francis Dupont for providing the first implementation of HBA.

Bagnulo Standards Track [Page 23]

## 14. References

#### 14.1. Normative References

- [1] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, March 1997.
- [2] Aura, T., "Cryptographically Generated Addresses (CGA)", RFC 3972, March 2005.
- [3] Arkko, J., Kempf, J., Zill, B., and P. Nikander, "SEcure Neighbor Discovery (SEND)", RFC 3971, March 2005.
- [4] Bassham, L., Polk, W., and R. Housley, "Algorithms and Identifiers for the Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC 3279, April 2002.
- [5] Cooper, D., Santesson, S., Farrell, S., Boeyen, S., Housley, R., and W. Polk, "Internet X.509 Public Key Infrastructure Certificate and Certificate Revocation List (CRL) Profile", RFC 5280, May 2008.
- [6] Hinden, R. and S. Deering, "IP Version 6 Addressing Architecture", RFC 4291, February 2006.
- [7] Narten, T., Draves, R., and S. Krishnan, "Privacy Extensions for Stateless Address Autoconfiguration in IPv6", RFC 4941, September 2007.
- [8] Bagnulo, M. and J. Arkko, "Cryptographically Generated Addresses (CGA) Extension Field Format", RFC 4581, October 2006.
- [9] Nordmark, E. and M. Bagnulo, "Shim6: Level 3 Multihoming Shim Protocol for IPv6", RFC 5533, June 2009.
- [10] Bagnulo, M. and J. Arkko, "Support for Multiple Hash Algorithms in Cryptographically Generated Addresses (CGAs)", RFC 4982, July 2007.

## 14.2. Informative References

[11] Nordmark, E. and T. Li, "Threats Relating to IPv6 Multihoming Solutions", RFC 4218, October 2005.

Bagnulo Standards Track [Page 24]

- [12] Nikander, P., Arkko, J., Aura, T., Montenegro, G., and E. Nordmark, "Mobile IP Version 6 Route Optimization Security Design Background", RFC 4225, December 2005.
- [13] Arkko, J., Vogt, C., and W. Haddad, "Enhanced Route Optimization for Mobile IPv6", RFC 4866, May 2007.
- [14] Hoffman, P. and B. Schneier, "Attacks on Cryptographic Hashes in Internet Protocols", RFC 4270, November 2005.
- [15] Bellovin, S. and E. Rescorla, "Deploying a New Hash Algorithm", 2005 September.
- [16] Nordmark, E., "Multi6 Application Referral Issues", Work in Progress, October 2004.
- [17] Bagnulo, M., Garcia-Martinez, A., and A. Azcorra, "Efficient Security for IPv6 Multihoming", ACM Computer Communications Review Vol 35 n 2, April 2005.
- [18] Hinden, R. and B. Haberman, "Unique Local IPv6 Unicast Addresses", RFC 4193, October 2005.
- [19] Vixie, P., Thomson, S., Rekhter, Y., and J. Bound, "Dynamic Updates in the Domain Name System (DNS UPDATE)", RFC 2136, April 1997.

#### Author's Address

Marcelo Bagnulo Universidad Carlos III de Madrid Av. Universidad 30 Leganes, Madrid 28911 SPAIN

Phone: 34 91 6249500
EMail: marcelo@it.uc3m.es
URI: http://www.it.uc3m.es